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U.S. PTO226817865120
PTOUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 393032043800

First Inventor Yukihisa NAKAO

Title OPTICAL DISK RECORDING METHOD AND APPARATUS USING
ALTERNATIVE STRATEGIES OF LASER BEAM CONTROL

Express Mail Label No. EV415770641US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: February 24, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Marco Jimenez

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 63]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 USC 113) [Total Sheets 79]

5. Oath or Declaration [Total Pages 3]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450, Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement
(where there is an assignee)

- Power of Attorney By Assignee

11. English Translation document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449

- Copies of IDS Citation(s): 2

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
Should be specifically itemized

15. Certified Copy of Priority Document
(if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: *

Prior application information:

Examiner *

Group / Art Unit: *

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

25224

or Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	David L. Fehrman		
Address	Morrison & Foerster 555 W. 5th Street, 35th Floor		
City	Los Angeles	State	CA
Country	US	Telephone	(213) 892-5601
Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600
Signature	2/24/04		
Date February 24, 2004			

FEE TRANSMITTAL for FY 2004

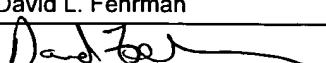
Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1744.00)

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently herewith
First Named Inventor	Yukihisa NAKAJO
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	393032043800

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)					
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None	3. ADDITIONAL FEES					
<input checked="" type="checkbox"/> Deposit Account:					Large Entity	Small Entity	Fee Description			Fee Paid
Deposit Account Number 03-1952					Fee Code	Fee (\$)	Fee Code	Fee (\$)		
Deposit Account Name Morrison & Foerster LLP					1051	130	2051	65	Surcharge – late filing fee or oath	
					1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
					1053	130	1053	130	Non-English specification	
					1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
					1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
					1251	110	2251	55	Extension for reply within first month	
					1252	420	2252	210	Extension for reply within second month	
					1253	950	2253	475	Extension for reply within third month	
					1254	1,480	2254	740	Extension for reply within fourth month	
					1255	2,010	2255	1,005	Extension for reply within fifth month	
					1401	330	2401	165	Notice of Appeal	
					1402	330	2402	165	Filing a brief in support of an appeal	
					1403	290	2403	145	Request for oral hearing	
					1451	1,510	1451	1,510	Petition to institute a public use proceeding	
					1452	110	2452	55	Petition to revive – unavoidable	
					1453	1,330	2453	665	Petition to revive - unintentional	
					1501	1,330	2501	665	Utility issue fee (or reissue)	
					1502	480	2502	240	Design issue fee	
					1503	640	2503	320	Plant issue fee	
					1460	130	1460	130	Petitions to the Commissioner	
					1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
					1806	180	1806	180	Submission of Information Disclosure Stmt	
					8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
					1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
					1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
					1801	770	2801	385	Request for Continued Examination (RCE)	
					1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 40.00)
**or number previously paid, if greater; For Reissues, see above										

SUBMITTED BY		(Complete if applicable)			
Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600	Telephone	(213) 892-5601
Signature				Date	February 24, 2004